

## New customers with D-number

The details below are gathered to help the bank keep track of each customer's personal circumstances in the best way possible, and to fulfil the bank's mandatory obligations according to law. Such details have to be gathered before an account with the bank can be opened, but can also be gathered in the course of your regular dealings with the bank. All details gathered are treated confidentially and in accordance with the Data Protection Act. Please note that the bank can request additional information and documentation to that referred to in the form.

### I. PERSONAL INFORMATION:

First name:		
Last name:		
D-number (11 digits):		
Date of birth:		
Postal address in home country:		
Home address as registered in the Norwegian national Registry:		
Postal address in Norway (if different from above):		
Citizenship:		
Place of birth:	City/region:	Country:
E –mail address:		
Phone (mobile) number in Norway <u>and</u> in home country:		
Status:	<input type="checkbox"/> Minor (under 18) <input type="checkbox"/> Student <input type="checkbox"/> Have Norwegian employer <input type="checkbox"/> Have foreign employer <input type="checkbox"/> Social security recipient	
Employer i Norway:		
Seasonal worker:	<input type="checkbox"/> No <input type="checkbox"/> Yes	
Bank connection in home country:		
Swift code:		
IBAN number / account number:		

## **II. OTHER INFORMATION AND PURPOSE OF CUSTOMER RELATIONSHIP:**

### **Purpose of account**

**Only transactions within Norway**

- International transactions
- Fundraising

### **Cash deposits:**

Do you expect to deposit cash amounting to more than NOK 100.000,- per year.

- No
- Yes

If yes, please state the approximate requirements for cash deposits per month, and the expected origin of the funds:

### **Payments or transfers from abroad:**

Is it probable that you will receive funds from abroad amounting to more than NOK 100,000,- per annum?

- No
- Yes, - If yes, please specify:

Anticipated amount per annum:

From which country/countries:

Purpose:

Will you receive funds from abroad?

- No
- Regularly receive salary or welfare
- Other transactions

### **Payments or transfers abroad:**

Is it probable that you will transfer funds abroad amounting to more than NOK 100.000,- per annum?

- No
- Yes, - If yes, please specify:

Anticipated amount per annum:

From which country/countries:

Purpose:

How many transfers will you send abroad per annum?

- None
- < 10 transfers
- 10 + Transfers
- Regularly to a known recipients such as family

**Purpose and transactions performed on behalf of others**

Are you opening an account in whole or part in the name of a business, or to be able to handle someone else's financial affairs? (For example: an account on behalf of an unregistered club, association, school class etc.)

- No
- Yes, - If yes, please provide more details on the aspects related to a business or the financial interests of someone else:

Do you expect, on behalf of others, to perform payment or investments where the total value will exceed NOK 100.000,- per annum?

- No
- Yes. If yes, please state the expected amount and the purpose of the transactions, also please state the names, national identity number or organization numbers of those you will perform such payment or investments, on behalf of:

**III. POLITICALLY EXPOSED PERSON (PEP)**

During the last year, have you been entrusted with a prominent public function abroad, or are you an immediate family member, or close associate of such a person? – (See definitions related to this question)

- No
- Yes - If you have answered yes to the previous question, please provide the following information:

Why do you think you are a politically exposed person:

Please provide the origin of the funds to be deposited in establishing the customer relationship:

- Income/student loans
  - Gift
  - Savings
  - Inheritance
- Other, please specify:

Please provide the origin of any expected future deposits:

- Income/student loans       Turnover or such from business
- Other, please specify:

#### **DEFINITIONS RELATING TO THE TERM "POLITICALLY EXPOSED PERSON":**

**A) Prominent public function abroad is:**

1. Head of state, prime minister, minister or deputy minister,
2. a member of a national assembly,
3. a member of a higher court that makes decisions which only in exceptional cases can be appealed,
4. a member of the board of the Auditor General, audit court or central bank,
5. an ambassador, chargé d'affaires or senior military officer
6. a member of the administrative, executive or controlling body of a state-owned enterprise,
7. or a holder of equivalent office or position referred to in paragraphs 1 to 5 in an international organization.

**B) Immediate family member is:**

1. Spouse or a partner that under national law enjoys the same rights as a spouse,
2. children,
3. spouse or partner of the children,
4. or parent

**C) Close associate is a natural person who is known to;**

1. be a jointly beneficial owner of a legal entity or trust with a person mentioned above in item A or B,
2. have close business associations with a person mentioned above in item A or B,
3. be the sole beneficial owner of a legal entity or trust that in reality where established to benefit a person mentioned above in item A or B.

#### **IV. FATCA**

As of July 1st 2014 it is mandatory for Norwegian financial firms to collect information about any tax liabilities for natural persons, as well as the citizenship of the client.

**Citizenship:** \_\_\_\_\_

**Country of birth:** \_\_\_\_\_

Are you liable for tax in other countries?

- No       Yes – if yes, please specify:

**Tax liabilities:**

Country:	Tax identity number:

## V. RISK ASSESSMENT

**Comments by employee (Filled out by employee in SpareBank 1 Hallingdal Valdres or SpareBank 1 Regnskapshuset):**

**Assessed by (Employee name, signature and date):**

## VI. NETBANK – Only for Nordic customers (Norway, Sweden, Denmark, Finland and Iceland)

Will you receive salary from a Norwegian employer longer than three months?

No       Yes

If yes, Netbank can be created, Bank ID issued. One time pin for mobile device will be activated.

Type of mobile device:

iPhone  
 Android  
 Other

## VII. CONSENT/HANDLING OF PERSONAL DATA

### Consent to receive electronic marketing

I consent to the bank sending marketing information about its products and services directly to my (place cross in box – more than one cross may be marked):

E-mail address       Cell phone(SMS)       Internet bank

### Consent to receive customised marketing

I consent to the bank using information on use and transactions and other detailed information (indepth Information) concerning my customer relationship with the bank for the purpose of customizing its marketing of services and products in which I might be interested.

(For example: this can imply that the bank only sends offers concerning child insurance to you if your child benefits are paid into your current account.)

Yes       No

**Consent to the transfer of customer information to a common customer register**

I consent to the bank releasing information on use and transactions and other in-depth information about my customer relationship to a common customer register shared with other companies within the same financial group or cooperating group.

(The purpose of the common customer register is to improve the way we deal with each individual customer, so that other companies in SpareBank 1, such as SpareBank 1 Forsikring, are better able to adapt their marketing to your needs)

Yes       No

**VIII. CONFIRMATION /SIGNATURE**

**For seasonal workers:**

**Your account will automatically be terminated after the end of the winter season. If you want the account to continue to exist, you need to notify us within reasonable time before the season ends.**

**I hereby confirm that the information provided herein and in any enclosures is complete and accurate, and that any changes will be notified to the bank.**

Place: \_\_\_\_\_

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

**Information:** Bankcard can be ordered after salary from employer is received. Bankcard can be ordered in your netbank by sending a message to the bank. Remember to specify what bank office it should be sent to. If you do not have a netbank, you must visit a bank office to order a Bankcard.