

Client Agreement for providing investment services

(last updated on 30 July 2018)

The Enterprise

SpareBank 1 SR-Bank ASA
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Investment Services

SpareBank 1 SR-Bank has prepared a set of terms and conditions for investment services which SpareBank 1 SR-Bank is licensed to offer. These are enclosed as "The General Business Terms and Conditions for Trading in Financial Instruments" (henceforth known as the "Appendix"), which are enclosed with this Client Agreement and also available online: www.sr-bank.no.

Confirmation

The commercial relationship between the Client and SpareBank 1 SR-Bank is governed by rules laid out in the Securities Trading Act and its Regulations, and in other relevant legislation, and any special agreements between SpareBank 1 SR-Bank and the Client, as well as SpareBank 1 SR-Bank's General Business Terms and Conditions.

The Client hereby confirms and consents to the following by signing/electronic approval of this Agreement:

- acknowledge that SpareBank 1 SR-Bank offers non-independent investment advice based the conditions set by legislation (see the Appendix)
- has received, read and accepted SpareBank 1 SR-Bank's appendix; the General Business Terms and Conditions for Trading in Financial Instruments etc. (see the Appendix)
- that information not directed to a specific client will be made available on SpareBank 1 SR-Bank's website, if this is suitable
- that information to a specific client can be sent by e-mail and/or directly via the client's internet bank mailbox, unless the Client specifically asks to have such information sent by conventional mail
- be classified as a Non-professional client, understanding how the different client categories are defined and the procedures for changing classification (see the Appendix)
- that SpareBank 1 SR-Bank may exchange client information with any third-party bank that we cooperate with along with neutral or detailed information for receiving, communicating and executing orders, to maintain a deposit account and for the general purpose of following up on the client relationship. The objective of this consent is to offer services via the best possible customer service, as well as provide information about products and services that we offer now and in the future. The Client also hereby confirms that SpareBank 1 SR-Bank can gather information, and that the Client shall provide all information that is necessary, including opening values and unused tax-free allowances, when the Client transfers financial instruments to a share savings account.